

# Expert Opinion

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## Ofatumumab, a second-generation anti-CD20 monoclonal antibody, for the treatment of lymphoproliferative and autoimmune disorders

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**Background:** Lymphoproliferative and autoimmune disorders share monoclonal dysregulation and survival advantage of B-lymphocytes. Thus, therapies directed towards eliminating B-cells will play an important role as CD20 is exclusively expressed in B-lymphocytes and its modulation by monoclonal antibodies such as rituximab has improved outcomes in lymphoproliferative and autoimmune disorders. Ofatumumab is a new, fully human anti-CD20 antibody and has been shown to be effective and safe, but its role in these conditions is still unclear. **Objectives:** To describe the preclinical and clinical data available on ofatumumab for the treatment of lymphoproliferative and autoimmune disorders. **Methods:** An extensive search of published articles and abstracts on preclinical and clinical studies with ofatumumab was undertaken. **Conclusions:** Ofatumumab is a second-generation anti-CD20 antibody that has been demonstrated to be safe and efficacious in patients with lymphoproliferative and autoimmune disorders. Ofatumumab is fully human, attaches to a newly identified epitope and shows lower off-rates and improved complement-dependent cytotoxicity. Initial data present ofatumumab as an attractive agent with lower rates of infusion-related events than rituximab. Ongoing Phase III trials in patients with follicular lymphoma, chronic lymphocytic leukemia and rheumatoid arthritis are ongoing, and Phase II trials in patients with aggressive lymphoma and multiple sclerosis are also under development.

**Keywords:** autoimmune disorders, CD20, chronic lymphocytic leukemia, lymphoma, monoclonal antibody, multiple sclerosis, non-Hodgkin's lymphoma, ofatumumab, rheumatoid arthritis

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### 1. Introduction

B-lymphocytes play an important role in the development of lymphoproliferative and autoimmune disorders (LPDs and AIDs, respectively). Briefly, LPDs are characterized by a monoclonal proliferation of malignant B- or T-lymphocytes, in which a combination of increased proliferation, survival advantage and/or decreased apoptosis can be observed [1]. In a similar fashion, AIDs are characterized by B-lymphocyte dysregulation, which translates into increased activation and development of uncontrolled self-recognition properties [2]. Furthermore, there is a bidirectional association between these disorders, since patients with LPDs often present with AIDs (e.g. lymphoma patients can develop autoimmune hemolytic anemia) [3] and patients who suffer from AIDs have an increased risk of developing LPDs (e.g., the risk of developing lymphoma in patients with

56 systemic lupus erythematosus is 7 times higher than in the  
 general population) [4]. Based on this evidence, drugs directed  
 60 towards eliminating the malignant or autoimmune clones of  
 B-lymphocytes, such as anti-CD20 monoclonal antibodies,  
 can be of great therapeutic value in these disorders.

Non-Hodgkin's lymphoma (NHL) and chronic lymphocytic  
 leukemia (CLL) represent two distinct subcategories of  
 malignant LPD, which originate from clonal proliferation of  
 malignant lymphocytes. NHL is the most predominant  
 65 adult hematologic malignancy in the USA with 66,000  
 anticipated cases in 2008 [5]; 85% of these cases will arise  
 from a malignant B-lymphocyte. The most common subtypes  
 are diffuse large B-cell (DLBCL) and follicular lymphoma  
 (FL). CLL, on the other hand, is the most prevalent LPD in  
 70 the USA with 95,000 cases [6]. CLL is characterized by the  
 accumulation of neoplastic CD5<sup>+</sup>/CD19<sup>+</sup> B-lymphocytes.  
 Fifty per cent of cases will be largely asymptomatic and will  
 not need therapy. DLBCL is considered a curable disease;  
 but FL and CLL are incurable with current standard therapies.  
 75 AIDs comprise a constellation of conditions, such as rheu-  
 matoid arthritis (RA) and multiple sclerosis (MS). RA has  
 an annual incidence of 30 cases per 100,000 population;  
 more than a million Americans live with RA [7]. This condi-  
 80 tion, which is characterized by the development of painful,  
 swollen joints and the presence of anticyclic citrullinated  
 peptide antibodies, can be disabling and has a great impact  
 on quality of life and productivity as the joint damage  
 becomes permanent with time, decreasing mobility. MS is  
 85 the most common autoimmune demyelinating disease of the  
 central nervous system, affecting more than 300,000 people  
 in the USA [8]. It is twice as common in females as in  
 males, with a peak incidence at the age of 35 years but does  
 not affect lifespan substantially [9]. The most common form  
 90 of MS is relapsing-remitting MS (RRMS), characterized by  
 unpredictable relapses followed by either complete, partial  
 or no neurological recovery.

In 1997, the advent of rituximab (Rituxan<sup>®</sup>, Genentech,  
 South San Francisco, CA, USA), a chimeric anti-CD20  
 monoclonal antibody (MAB), dramatically altered the foun-  
 95 dation for the treatment of NHL. Rituximab is approved as  
 both first-line treatment for aggressive and indolent subtypes  
 of NHL (DLBCL and FL, respectively), and for relapsed or  
 refractory, indolent or follicular, CD20-positive NHL. Clinical  
 data reported by multiple investigators demonstrated  
 100 increased response rates and prolonged survival times with  
 rituximab in combination with chemotherapy in patients  
 with DLBCL [10-12] and FL [13,14]. Similarly, the clinical  
 benefits of rituximab can be seen in patients with CLL  
 when added to other chemotherapeutic agents or, to a lesser  
 105 degree, as single agent [15,16]. Of note, rituximab is not yet  
 approved by the FDA to treat CLL but it is the most com-  
 monly used MAB for this condition. The treatment for RA  
 is based in nonspecific modulation of B-cells by corticoster-  
 oids, methotrexate (MTX) and anti-TNF $\alpha$  MAB. Rituximab  
 110 obtained FDA approval for the treatment of RA after

anti-TNF $\alpha$  failure in 2006 based on a Phase III study 111  
 showing that the combination of rituximab and MTX  
 improved responses in comparison to MTX alone based on  
 American College of Rheumatology (ACR) and European  
 League Against Rheumatism (EULAR) response criteria [17]. 115  
 The dosing regimen for RA is different than those used to  
 treat lymphoma. For MS, multiple therapies are available,  
 such as interferon, glatiramer acetate, mitoxantrone and natali-  
 zumab, a humanized anti-CD74 MAB. The use of rituximab  
 in MS is limited to small trials but with hopeful results [18]. 120

The premise of MAB activity centers upon modulating  
 new functional receptors in malignant or autoimmune cells  
 to augment therapeutic efficacy. The actual mechanisms of  
 action of MAB are unknown but, theoretically, their func-  
 125 tion is elicited by inducing complement-dependent cytotox-  
 icity (CDC), antibody-dependent cellular cytotoxicity  
 (ADCC) and/or direct apoptosis [19]. Nonetheless, inherent  
 limitations arise with rituximab as resistance ensues in  
 patients with low-grade NHL and CLL and responses are  
 less potent and shorter in duration [20,21]. Similarly, the effi-  
 130 cacy of second-line therapy with rituximab is unclear in  
 DLBCL patients who failed rituximab as front-line ther-  
 apy [10]. Moreover, rituximab has been linked to life-threat-  
 ening infusion reactions, hepatitis B reactivation, tumor lysis  
 syndrome, severe mucocutaneous reactions and progressive  
 135 multifocal encephalopathy [22,23]. Thus, it is paramount to  
 discover and implement a new generation of MAB as a viable  
 treatment entity to achieve long-term remission while  
 decreasing the rate of therapy-related adverse events. 140

## 2. Synthesis

Ofatumumab (HuMax-CD20; GlaxoSmithKline, Collegeville,  
 PA, USA and Genmab, Copenhagen, Denmark) is an IgG1,  
 fully human, second-generation anti-CD20 MAB with a 145  
 molecular weight of approximately 150 kDa. Ofatumumab  
 was produced by immunizing HCo7 and KM mice with a  
 murine cell line (NS/0) transfected with human heavy- and  
 light-chain genes. The hybridoma was created by fusing  
 B-cells from immunized mice and NS/0 cells. CD20-specific  
 150 IgG1-producing hybridomas were then sequenced and sub-  
 cloned. Unique features to ofatumumab compared with  
 rituximab are a different binding site, prolonged release time  
 from the target site and stronger CDC activity. Ofatumumab  
 is now under clinical development for indolent and aggressive  
 155 NHL, CLL, RA and MS with promising results.

## 3. Preclinical development

Elegant work from Teeling and colleagues [24] defined a series 160  
 of three fully human anti-CD20 MABs (ofatumumab, 7B8 and  
 11B8) with distinct characteristics. The first two were desig-  
 nated as type I anti-CD20 MABs (rituximab-like), given their  
 ability of translocating and concentrating CD20 molecules  
 into detergent-insoluble lipid rafts and inducing CDC. The 165

- 166 MAb 11B8 was designated as type II (tositumomab-like) given its greater ability of eliciting ADCC and apoptosis. By  
 170 separately using plasma and polymorphonuclear and mono-  
 nuclear cells as 'effector fractions', ofatumumab was able to  
 elicit cell killing when incubated with plasma alone in the  
 absence of effector cells, suggesting ofatumumab to be a  
 strong CDC inducer. Furthermore, the CDC effect was  
 175 blunted after heat-induced complement inactivation. The  
 addition of polymorphonuclear fraction mildly increased  
 cell-killing rates but addition of mononuclear fraction did  
 not, suggesting ofatumumab is a weak ADCC inducer. As  
 part of the same experiment, CLL tumor cells, which are  
 relatively rituximab-resistant given their low CD20 expression,  
 were exposed to ofatumumab demonstrating cell-killing  
 180 properties with plasma alone; rituximab showed no activity.  
 Confirming this finding, rituximab and ofatumumab were  
 effective against SU-DHL4 cells, which are characterized by  
 high CD20 expression but, after exposure to Raji cells,  
 which show low CD20 expression but increased expression  
 185 of complement regulatory proteins (i.e., CD55 and CD59),  
 only ofatumumab demonstrated activity. In addition, ritux-  
 imab showed similar on-rates (maximal levels of binding in  
 less than 15 min) but faster off-rates than ofatumumab in  
 Ramos and Daudi cell lines. By means of radiolabeled  
 190 immunoglobulin in DOHH cells, ofatumumab showed  
 70% binding after 3 h of incubation compared with approx-  
 imately 30% binding of rituximab. After 6 h of exposure,  
 rituximab-induced CDC levels were lower than ofatumumab  
 (50% vs 90%, respectively) suggesting delayed off-rates were  
 195 associated with maintenance of the CDC activity.
- In a second study by Teeling and colleagues [25] rituximab  
 did not have activity unless there were at least 30,000 CD20  
 molecules per cell and did not achieve full cell lysis even in  
 cells with the highest expression of CD20. By contrast,  
 200 ofatumumab began showing activity at CD20 concentra-  
 tions of 4500 molecules per cell and achieved full lysis of  
 any cell line expressing more than 60,000 molecules per cell.  
 Additionally, by using a new human anti-CD20 MAb, 2C6,  
 with faster dissociation rates than rituximab, it was demon-  
 205 strated that faster off-rates were not always associated to  
 weaker CDC activity since 2C6 was a stronger CDC inducer  
 than rituximab. The group postulated that ofatumumab  
 induced stronger CDC by recognizing a different form of  
 CD20 or a different epitope. Rituximab and other murine  
 210 anti-CD20 MAbs bind to an epitope that contains an ala-  
 nine residue in position 170 (A170) and a proline in posi-  
 tion 172 (P172). By mutating these residues, binding of  
 rituximab to CD20 was effectively blocked but the muta-  
 tions failed to block the binding of ofatumumab. Pepscan  
 215 epitope mapping and ELISA testing were used to assess the  
 reactivity of the different anti-CD20 MAbs against the  
 sequenced potential epitopes. As expected, rituximab bound  
 to the A170/P172 epitope, but ofatumumab bound to pep-  
 tides located in the small extracellular loop, N-terminal from  
 220 A170/P172. It is likely that the greater proximity of the new  
 epitope to the cellular membrane plays a role in achieving  
 stronger CDC activity.
- Beum and colleagues [26], using a spinning disk confocal  
 225 microscopic analysis, were able to demonstrate the structural  
 changes different lymphoma cell lines undergo after opsoniza-  
 tion with rituximab and ofatumumab in the presence of  
 complement. In Daudi cells, larger deposition of C3b was  
 observed in the ofatumumab-opsonized cells followed by  
 membrane 'blebbing'. After addition of normal human  
 serum, thin protruding structures called 'streamers' were  
 230 identified. Ofatumumab produced streamers in 114 s while  
 they were seen after 418 s with rituximab. Membrane bleb-  
 bing and streamer formation are directly associated with  
 anti-CD20 MAb complement-induced cell death since addi-  
 tion of EDTA, which chelates Mg<sup>++</sup> and Ca<sup>++</sup> and blocks  
 235 complement activation; and lack of C5 and C9 blunted  
 death of nucleated cells. In ARH77 cells, a rituximab-resis-  
 tant cell line with high levels of CD55 and CD59, C3b  
 deposition, membrane blebbing, streamers and cell killing  
 were observed with ofatumumab; rituximab was not able to  
 240 promote CDC activity after several hours of incubation.  
 Even in CLL cells, ofatumumab showed a higher ability of  
 inducing streamer formation than rituximab, although the  
 killing rate was similar for both MAbs owing probably to  
 the small sample size. 245
- Recently, Bleeker and colleagues [27] published a series of  
 experiments directed to evaluate *in vivo* the dose requirement  
 for activity of ofatumumab. Initially, using Daudi cells, EC<sub>50</sub>  
 values for ADCC and CDC were 0.02 and 0.13 µg/ml,  
 respectively and maximal levels of ADCC (51% cell lysis)  
 250 were obtained with 50% target saturation while maximal  
 CDC levels (68% cell lysis) required full target saturation.  
*In vitro* target saturation was achieved at levels of 5 µg/ml  
 and no further increase in CDC or ADCC was observed  
 with higher levels of ofatumumab. To validate these find-  
 255 ings, a xenograft model was constructed using female severe  
 combined immunodeficient (SCID) mice, which were  
 injected with luciferase-transfected Daudi cells allowing  
*in vivo* evaluation of tumor growth by bioluminescence.  
 Ofatumumab was administered on day 5 after tumor induc-  
 260 tion as a single dose of 0.5 mg/kg. Control mice developed  
 disease on day 13 and tumor continued growing until day 35;  
 control mice developed clinical signs and were sacrificed. By  
 contrast, the ofatumumab-treated mice developed disease  
 with a delay of 3 – 4 weeks. To assess for dose-effect rela-  
 265 tionship, mice were treated with a 0.5-mg/kg single dose of  
 ofatumumab 5 days and 14 days after tumor induction.  
 Mice treated 5 days after tumor induction maintained an  
 ofatumumab concentration of above 1 µg/ml throughout  
 the experiment, while mice treated 14 days after tumor  
 270 induction started at similar levels and these levels declined  
 to 0.1 – 0.2 µg/ml by the end of the experiment, indicating  
 that tumor burden may play a role in ofatumumab plasma  
 concentrations. Accelerated tumor growth was observed  
 when ofatumumab levels were below 0.4 µg/ml. 275

276 To clarify further ofatumumab efficacy, cynomolgus  
 monkeys were used as their CD20 molecule is similar to  
 humans with only one amino acid difference. Three different  
 dosing regimens of ofatumumab were used (1.25, 6.25 and  
 280 12.5 mg/kg), given daily for 4 days. B-cell depletion from  
 peripheral blood was observed immediately after ofatumumab  
 infusion. Initial B-cell recovery was seen at 29 and 56 days  
 with low-dose and medium-/high-dose ofatumumab, respec-  
 tively. Full B-cell recovery was seen after day 96 in the low-dose  
 285 group and after 136 days in the medium-/high-dose groups.  
 Lymphatic depletion of B-cells was also achieved with ofatu-  
 mumab as germinal center atrophy was observed in mandibular  
 and mesenteric lymph nodes 2 weeks after the final dose. The  
 authors concluded that ofatumumab concentrations of 50 µg/ml  
 290 were needed to induce full B-cell depletion and concentrations  
 of 5 – 10 µg/ml were sufficient to sustain activity.

*Ex vivo* experiments showed ofatumumab was more  
 effective than rituximab in eliciting CDC in DLCBL cell  
 lines, SU-DHL4, SU-DHL5 and HT, and in cells from 10  
 295 refractory DLBCL patients [28]; the lethal doses for ofatu-  
 mumab and rituximab were  $0.1 \pm 2.8$  and  $6.4 \pm 4.9$  µg/dl,  
 respectively. Furthermore, the effect of ofatumumab was less  
 sensitive to the expression of complement regulatory proteins,  
 CD55 and CD59, than rituximab.

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## 4. Clinical development

### 4.1 Non-Hodgkin's lymphoma

#### 4.1.1 Phase III

305 Hagenbeek and colleagues reported results on a Phase I/II  
 open-label, multicenter, dose-escalating clinical trial  
 (NCT00092274) of ofatumumab in 40 CD20-positive  
 patients with relapsed or refractory FL [29-31]. Ofatumumab  
 was administered in four incremental doses of 300, 500,  
 310 700 or 1000 mg i.v. once weekly for 4 weeks (n = 10 in  
 each cohort) and followed for 1 year. Before infusion,  
 patients received oral acetaminophen and intravenous anti-  
 histamine; in case of grade 3 or higher adverse events (AEs),  
 i.v. glucocorticosteroids were administered. Of the  
 315 40 patients, 15 had previous rituximab exposure either as  
 monotherapy or part of combination therapy and, of these,  
 4 were refractory to rituximab. All patients were evaluated for  
 safety parameters and 37 were included for efficacy assessment.  
 By week 19, response rates of 63,33,20 and 50% were  
 320 achieved in patients receiving 300, 500, 700 and 1000 mg,  
 respectively. From week 19 to week 26, one additional response  
 was noted in a patient receiving 1000 mg. Five patients  
 achieved complete response (CR), 2 unconfirmed CR (CRu) and  
 9 partial response (PR); stable disease (SD) and progressive  
 325 disease (PD) were observed in 18 and 3 patients, respectively.  
 In patients previously treated with rituximab, 64% responded  
 across dose groups, with three achieving CR, one CRu, five PR,  
 four SD and one PD. Of the four patients who were rituximab-  
 refractory, three responded to ofatumumab treatment (1 CR,  
 330 1 CRu and 1 PR). Rapid, significant and sustained B-cell

depletion was observed in evaluable patients from all dose groups 331  
 until approximately 20 weeks after final treatment, with a  
 slow recovery measured to week 54 from initiation of treatment.  
 Interim data indicated a decrease in median baseline B-cell  
 count from  $114 \times 10^6$  cells/l to  $8 \times 10^6$  cells/l in 16 available 335  
 patients 1 week after the first infusion; B-cells were not  
 detectable in eight patients. Conversion to negative BCL-2  
 in peripheral blood was assessed in all dose groups with a  
 65% conversion rate in evaluable patients across dose groups.  
 Based on Kaplan-Meier estimates, at a median follow-up of 340  
 9.2 months, the median time to progression for all patients  
 was 8.8 months, the median time to progression for responders  
 was 32.6 months and the median duration of response was  
 29.9 months. Four patients who did not progress were being  
 345 monitored at the time of publication; median time to next  
 anti-lymphoma therapy was not reached by 12 months.

In an ongoing Phase II, open-label, randomized clinical  
 trial, 56 previously untreated patients with FL received  
 ofatumumab combined with cyclophosphamide, doxorubi-  
 cin, vincristine and prednisone (CHOP; NCT00494780). 350  
 The two dose cohorts would receive 300 mg of ofatumumab  
 at the first infusion, followed by five infusions of either 500  
 or 1000 mg of ofatumumab every 3 weeks, in combination  
 with six cycles of CHOP. Patients would be monitored at  
 12 weeks following the final treatment, every 12 weeks until 355  
 2 years and then every 24 weeks until 5 years or initiation  
 of alternative treatment. The primary end point was objec-  
 tive response from the initiation of treatment until 12 weeks  
 after final treatment at 30 weeks. This trial is ongoing but  
 not recruiting patients at the time of publication. 360

In a Phase II, open-label, non-randomized clinical trial,  
 75 patients with relapsed DLBCL who were not candidates  
 for stem cell transplantation will receive an initial 300-mg  
 infusion followed by seven weekly 1000-mg infusions of  
 ofatumumab (NCT00622388). Patients would be moni- 365  
 tored every 4 weeks after final treatment and every 12 weeks  
 thereafter for 5 years or the initiation of an alternative treat-  
 ment. The primary end point was objective response rate at  
 24 weeks after treatment initiation. At the time of this  
 publication, recruitment was still ongoing. 370

#### 4.1.2 Phase III

A multicenter, international Phase III study co-chaired by  
 Drs Hagenbeek and Czuzman and sponsored by Genmab is  
 ongoing (NCT00394836) and will randomize 112 patients 375  
 with rituximab-refractory FL to an initial 300-mg infusion  
 followed by seven weekly infusions of either 500 or 1000 mg  
 of ofatumumab. The primary and secondary objectives are  
 efficacy and safety, respectively. Disease status will be assessed  
 every 3 months to complete 24 months of follow-up. 380

### 4.2 Chronic lymphocytic leukemia

#### 4.2.1 Phase III

In a Phase I/II, open-label, multicenter, dose-escalating clinical  
 trial (NCT00093314), 33 patients with relapsed or refractory 385

386 CLL were treated with once-weekly infusions of ofatumumab  
 for 4 weeks: three patients received an initial dose of 100 mg,  
 followed by three doses of 500 mg; three received 300 mg  
 390 and three doses of 1000 mg; and 27 received 500 mg and  
 three doses of 2000 mg [32-34]. Patients were previously  
 treated with fludarabine (n = 20), rituximab (n = 7) and  
 alemtuzumab (n = 6) and 67% of the patients were stage B  
 according to the Binet clinical staging scale. Patients received  
 395 oral acetaminophen, intravenous antihistamine and gluco-  
 corticosteroid before ofatumumab infusion and were fol-  
 lowed up for 12 weeks. The overall objective response  
 (ORR) was 44%; in the high-dose group (approximately  
 50% of evaluable patients; 1 withdrew from hepatic cytolysis),  
 400 there was one nodular PR and 12 PRs and there was  
 one PR in the low-dose group. In the high-dose group, 62%  
 (16 out of 26) responded to treatment on physical examina-  
 tion and peripheral blood examination 4 weeks after treat-  
 ment; three did not respond until week 7 or 11. The  
 405 duration of response varied; at week 19, nine patients still  
 had a response. Of those, seven had received previous ther-  
 apy; two maintained response until week 27 and the remain-  
 ing patients had PD with a median progression-free survival  
 (PFS) of approximately 15 weeks and time to the next anti-  
 leukemic therapy was 1 year. Of seven anemic patients, six  
 410 improved at the two higher doses and eight of nine throm-  
 bocytopenic patients improved across all doses. In eight  
 high-dose patients who responded, the median percentage of  
 bone marrow lymphocytes was 78% and 50% before and  
 after treatment, respectively, with three who had less than  
 415 30% posttreatment but had a nodular growth pattern. There  
 was a median 55% reduction in CD5<sup>+</sup>/CD19<sup>+</sup> B-cells in the  
 blood in patients receiving the high dose after the first infu-  
 sion, which increased to a median of 97% after the fourth  
 infusion; similar reductions with CD5<sup>+</sup>/CD20<sup>+</sup> B-cells and  
 420 normal B-cells were observed; these reductions were mostly  
 sustained until week 24.

In an ongoing Phase II, open-label, randomized,  
 parallel-group, combination clinical trial in patients with  
 425 B-cell CLL (NCT00410163), 56 patients will receive a  
 300-mg infusion of ofatumumab, followed by five infusions  
 of 500 or 1000 mg with fludarabine and cyclophosphamide  
 every 4 weeks until six infusions have been administered.  
 Patients will be assessed every 4 weeks until week 24, every  
 12 weeks thereafter until disease progression or by 2 years.  
 430 Patients that had not progressed at this time would be  
 monitored at 24-week intervals to complete 4 years. The  
 primary end point is CR rate from the initiation of treat-  
 ment to 12 weeks after therapy; secondary end points are  
 duration of response, time to next anti-CLL therapy, reduc-  
 435 tion in tumor size and AEs. At the time of this publication,  
 the study was ongoing but recruitment had stopped; the  
 estimated completion date is 2012.

In an international, multicenter study (NCT00349349),  
 440 patients with double refractory (DR) and bulky fludarabine  
 refractory (BFR) CLL, received eight weekly infusions of

ofatumumab followed by four monthly infusions (dose 1: 441  
 300 mg; doses 2 – 12: 2000 mg) [35]. DR CLL was defined by  
 failure to therapy with fludarabine and alemtuzumab. The pri-  
 mary end point was ORR over a 24-week period. Overall sur-  
 445 vival (OS) and safety were also evaluated. The interim analysis  
 included all 138 treated patients (59 DR and 79 BFR  
 patients); 54% received all 12 infusions and 90% received 8  
 infusions. The ORR, based on independent review committee  
 assessment, was 51% for the DR group and 44% for the BFR  
 group; one patient had CR. Additionally, 39 of 51 DR patients  
 450 and 43 of 44 BFR patients had SD. Median time to next  
 CLL therapy was 9 months for the DR group and 8 months  
 for the BFR group. Clinical progression was usually demon-  
 strated by worsening lymphadenopathy. The median OS was  
 455 about 14 months for the DR group and 15 months for the  
 BFR group. Based upon analysis at 12 weeks, response was  
 significantly correlated with longer survival for both groups.

Finally, exploring the concept of maintenance therapy  
 with ofatumumab, a Phase II trial (NCT00802737) will  
 460 include patients who, after receiving eight weekly doses of  
 ofatumumab (first dose 300 mg/m<sup>2</sup>, then 2000 mg/m<sup>2</sup>),  
 have achieved at least SD. Patients will continue receiving  
 ofatumumab at 2000 mg/m<sup>2</sup> once monthly to complete  
 2 years. Primary outcome is proportion of objective responders.  
 465 Duration of response, PFS, time to next CLL therapy,  
 OS, reduction in tumor size, AEs, major infections, human  
 anti-human antibodies (HAHA) and pharmacokinetic  
 parameters are secondary outcomes.

#### 4.2.2 Phase III

A Phase III trial (NCT00748189) has started recruiting  
 470 newly diagnosed CLL patients. Patients will be randomized  
 to chlorambucil alone at 10 mg/m<sup>2</sup> p.o. for 7 days every  
 28 days or the combination of chlorambucil and ofatu-  
 mumab at 300 mg/m<sup>2</sup> i.v. on day 1 followed by 1000 mg/m<sup>2</sup>  
 475 i.v. on day 8 and every 28 days thereafter. Primary outcome  
 is PFS and secondary outcomes are ORR and OS.

### 4.3 Rheumatoid arthritis

#### 4.3.1 Phase III

In 2005, a Phase I/II, randomized, double-blind, placebo-  
 480 controlled study (NCT00291928) was conducted to evaluate  
 the safety and efficacy in patients with active RA who have  
 failed one treatment with one or more disease-modifying  
 antirheumatic drugs [36]. The study included 39 patients  
 485 and 33 received either two infusions of 300, 700 and  
 1000 mg of ofatumumab or placebo, given 2 weeks apart.  
 Efficacy was assessed by the ACR score at week 24. In the  
 300-mg dose group, 75% patients who received both doses  
 obtained ACR20. In both the 700- and 1000-mg dose  
 490 groups, 78% of patients who received both doses obtained  
 ACR20. Results showed a 77% ACR20 response rate in  
 patients who received two doses of ofatumumab. Patients  
 who only received one dose showed a 66% ACR20 response.  
 495 None of the patients receiving placebo achieved ACR20.

496 The previous study was expanded into a Phase II trial  
 that included 200 additional patients randomized into four  
 500 treatment groups. In each group, 50 patients received two  
 infusions of 300, 700, or 1000 mg of ofatumumab or placebo,  
 given 2 weeks apart. Patients were followed for 24 weeks to  
 evaluate safety and efficacy and then every 12 weeks until B-cell  
 counts returned to baseline levels. In the intention-to-treat  
 analysis ACR20, ACR50 and ACR70 responses were achieved by  
 46,24 and 6% of all patients receiving ofatumumab compared  
 505 with 15,5 and 0% in the placebo group. Evaluated by dose  
 groups, an ACR20 response was obtained by 41,49 and 46% of  
 patients receiving 300, 700 and 1000 mg of ofatumumab,  
 respectively. An ACR50 response was obtained by 19,26 and  
 26% of patients receiving the varying doses of ofatumumab,  
 510 with 9,4 and 6% obtaining an ACR70 response. In the  
 subgroup of 178 patients receiving concomitant stable doses  
 of MTX, results across the three dose levels of ofatumumab  
 studied showed that an ACR20 response was obtained by 42,56  
 and 50% of patients in the 300-, 700- and 1000-mg dose  
 515 groups, respectively, compared with 16% in the placebo  
 group. An ACR50 response was obtained by 21,26 and 26% of  
 patients receiving the varying doses of ofatumumab, with 8,2  
 and 5% obtaining an ACR70 response. The corresponding  
 responses for the placebo group were 7% and 0%, respectively.  
 520 Overall, 72% of patients treated with each ofatumumab  
 dose experienced at least a moderate EULAR response compared  
 with 40% of patients receiving placebo at week 24. Patients  
 in this study will be part of another Phase II, nonrandomized,  
 525 open-label, active-control study that started in January  
 2008 set to evaluate the long-term effectiveness of repeated  
 courses of ofatumumab (NCT00655824).

530 Following a protocol amendment, 203 patients from the  
 total patient population were subject to efficacy measurements  
 for a 48-week follow-up period [37]. Continuation of ongoing  
 therapy with MTX and low-dose prednisolone was permitted.  
 Patients on 700-mg and 1000-mg doses of ofatumumab  
 maintained numerically higher ACR20 response rates than those  
 on placebo at the end of follow-up. The percentage of patients  
 535 with a good or moderate EULAR response was statistically  
 significantly higher in the 700-mg group compared with  
 placebo at week 48 (70% vs 49%, respectively). Only two  
 possibly/probably related AEs, cellulitis and Clostridium  
 colitis, were recorded from weeks 24 to 48 across all active  
 540 arms.

545 A two-part Phase I/II study to evaluate a subcutaneous  
 route of administration of ofatumumab in RA patients, stable  
 on MTX, is underway (NCT00686868). Part A will characterize  
 the safety and tolerability of ofatumumab when administered  
 subcutaneously. The primary end point is safety and tolerability.  
 Part B will characterize the pharmacokinetics/pharmacodynamics  
 of subcutaneous dosing. Patients in both parts are allowed to  
 continue a stable dose of MTX therapy. Part A will be a  
 550 randomized, single-blind, placebo-controlled, dose-range  
 finding study of approximately

40 patients; administration of ofatumumab will occur in a  
 551 hospital-based unit. Part B will be a blinded, randomized,  
 placebo-controlled study. On the basis of findings in part A  
 of the study, selected doses will be taken forward for  
 administration in part B. Administration of ofatumumab for  
 555 part B is planned to be conducted in an outpatient setting.

#### 4.3.2 Phase III

At present, two Genmab-sponsored, Phase III, randomized,  
 double-blind, placebo-controlled, parallel assignment clinical  
 560 trials comparing ofatumumab with placebo in RA patients  
 are ongoing. The primary end point for both would be  
 reduced clinical signs and symptoms of RA after a single  
 course of ofatumumab, measured by an ACR20 response at  
 24 weeks; the secondary outcome of both trials would be  
 565 safety and efficacy after repeated doses of ofatumumab in a  
 120-week, open-label period. In one trial, 248 patients with  
 an inadequate response to MTX will be randomized to a  
 single course of two 700-mg doses of ofatumumab 2 weeks  
 apart or placebo, in addition to background MTX 570  
 (NCT00611455). In the second trial, 236 patients refractory  
 or with inadequate response to anti-TNF $\alpha$  therapy will  
 be randomized again to a single course of ofatumumab  
 700 mg or placebo (NCT00603525).

### 4.4 Multiple sclerosis

#### 4.4.1 Phase III

In December 2007, a double-blind, randomized, placebo-  
 controlled, multicenter, dose-finding trial of ofatumumab in  
 RRMS patients was announced (NCT00640328). The first  
 580 patient in the study was treated in June 2008. The purpose  
 of the trial is to investigate the safety and the dose response  
 of three doses of ofatumumab compared with placebo. It is  
 a two-part study. In Part A, 36 patients will be treated in  
 cohorts of increasing doses of ofatumumab of 100, 300 or  
 585 700 mg. In Part B, 288 patients will be randomized to one  
 of three ofatumumab dose groups or placebo and followed  
 for a 48-week treatment period. After week 24, patients on  
 an active dose will receive re-treatment with the same dose  
 of ofatumumab or placebo. Patients in the placebo group  
 590 will receive ofatumumab at the highest tolerated dose from  
 Part A. The dose response will be determined on disease  
 activity as measured by MRI scans of the brain at 8 and  
 24 weeks.

#### 4.4.2 Phase III

No Phase III data were available at the time of this publication.

### 5. Adverse events and contraindications

600 In a Phase I/II trial evaluating safety and efficacy of ofatumumab  
 in relapsed or refractory FL, no safety concerns or maximum  
 tolerated dose were identified [31]. A total of 274 AEs were  
 reported; 190 were judged related to ofatumumab, most  
 occurring on the first infusion day with Common 605

606 Terminology Criteria (CTC) grade 1 or 2. Eight related  
events were grade 3. Treatment caused immediate and profound  
B-cell depletion.

610 In the NCT00349349 CLL trial, ofatumumab infusion-related  
adverse events on the first infusion day occurred in 46% of  
patients in the DR group and 38% in the BFR group,  
which were grade 3 in 7% and 3%, respectively. The subse-  
quent infusions did not demonstrate the potency of the  
initial infusion reactions. The most common CTC grade 3  
615 or 4 toxicities were infections (25% in DR; 27% in BFR  
group) and hematologic events including neutropenia (12%  
in DR; 10% in BFR group) and anemia (8% in DR; 4% in  
BFR group). Early death, defined as within 8 weeks from  
start of treatment, occurred in two patients (3%) in the DR  
620 group (sepsis, n = 1; fungal pneumonia, n = 1) and three  
patients (4%) in the BFR group (PD, n = 1; sepsis, n = 1;  
myocardial infarction, n = 1). None of the patients tested  
developed human antibodies against ofatumumab.

625 During the early stages of the initial RA trial [36], two  
patients experienced infusion-related serious AEs—one  
anaphylactoid reaction and one urticaria—and one patient  
had a CTC grade 3 bronchospasm. These were observed when  
using the 300-mg dose, so premedication with corticosteroids  
and a slower infusion rate were implemented before the use  
630 of higher doses. After premedication in all active groups, three  
CTC grade 2 events—one fatigue and two bronchospasms—  
were observed in the 700- and 1000-mg groups, but no  
serious AEs were reported. At the conclusion of the study,  
the incidence of CTC remained the same but one serious  
635 AE was reported. Most nonserious AEs were observed on  
the first infusion day, were grade 1 and 2 CTC events and  
were reported in 87% of patients in the 300 mg group,  
75% in the 700 mg group and 58% in the 1000 mg group,  
compared with 20,33 and 0%, respectively, during the second  
640 infusion. In a recent study to evaluate the long-term effects  
of two i.v. doses of 300, 700 and 1000 mg of ofatumumab  
given 2 weeks apart in patients with RA, two serious AEs  
(cellulitis and clostridium colitis) were reported. Of note,  
only serious AEs were reported at 24 and 48 weeks [37].

645

## 6. Conclusions

650 Ofatumumab is a second-generation anti-CD20 MAb that  
has been demonstrated to be safe and efficacious in treating  
patients with NHL, CLL, RA and MS. Ofatumumab is a  
fully human MAb, attaches to a newly described epitope  
and shows lower off-rates and improved CDC effect than  
rituximab. Initial clinical data present ofatumumab as an  
attractive agent with lower rates on infusion-related events  
655 than rituximab. Ongoing Phase III trials in patients with  
FL, CLL and RA are ongoing and Phase II trials in patients  
with DLBCL and MS are also under development. Thus far,  
ofatumumab has shown safety and efficacy as a single agent  
as well as in combination with chemotherapy. Future  
660 research should be directed towards using ofatumumab in

661 rituximab-resistant patients and comparing ofatumumab  
with rituximab in treatment-naïve patients.

## 7. Expert opinion

665 The advent of anti-CD20 MAbs has changed the treatment  
paradigm of LPDs and AIDs. Rituximab-containing regimens  
have improved response and survival rates in low-grade and  
aggressive NHL and in CLL [10,13,38,39]. Patients with RA  
and MS have also responded to anti-CD20 MAb therapy 670  
and current practice is moving away from other harder-to-  
manage immunosuppressants [36]. Despite this fact, ritux-  
imab therapy is far from perfect; the infusion-related  
reactions are common, some of them are life-threatening,  
and patients will ultimately develop resistance following 675  
repetitive exposure to rituximab [10,20,21].

The CD20 antigen continues to be a great target for  
MAB therapy. CD20 is not shed in the bloodstream and is  
very specific to B-lymphocytes [40]. Although CD20 func-  
tion has not been definitively elucidated, its modulation by 680  
MAB induces ADCC, CDC and apoptosis in the malignant  
or autoimmune cell [19]. Current research has shown that  
not all anti-CD20 MAbs are created equal. Ofatumumab  
has specific characteristics that differentiate it from ritux-  
imab, starting from a different binding site and binding 685  
properties to longer half-life and higher intensity of  
CDC [24,25]. Ofatumumab is also a fully humanized MAB  
with a low immunogenic potential and, so far, formation of  
human anti-human antibodies has not been observed  
in patients exposed to ofatumumab. All these features 690  
allow shorter infusions with fewer infusion-related reactions  
than rituximab and make ofatumumab an attractive product  
to be used in repetitive doses in the treatment of LPDs  
and AIDs. Much like rituximab, infusion-related AEs have  
695 been reported more commonly during the first infusion.  
Administration of corticosteroids should be routinely used  
before ofatumumab infusions and the rate of infusion should  
be decreased according to a protocol similar to that used  
with rituximab.

Ofatumumab has shown preclinical and clinical efficacy 700  
in different rituximab-resistant settings [41]. Ofatumumab  
induced lysis in cell lines with low expression of CD20 and  
also has shown efficacy in cases of NHL that are primarily  
resistant or refractory to rituximab and in cases of CLL,  
which are characterized by inherently lower expression of 705  
CD20 [25]. There are ongoing Phase III trials using ofatu-  
mumab in low-grade NHL, CLL and RA. Of note, none of  
these trials is a head-to-head comparison against rituximab.  
There is a general agreement that rituximab therapy has  
potentially serious complications, but the clinical experience 710  
has been positive so far; as more years of experience accumu-  
late in favor of rituximab it could potentially be harder to introduce  
a new anti-CD20 MAB, even if it proves to be noninferior.

Other second-generation anti-CD20 MAbs are under 715  
development. Veltuzumab (Immunomedics, Inc.), a humanized

716 anti-CD20 MAb with high CDC effect and slow off-rates,  
 is undergoing Phase II studies in NHL, CLL, RA and  
 idiopathic thrombocytopenic purpura. Veltuzumab has been  
 shown to be safe and efficacious at low doses using intrave-  
 720 nous and, more recently, subcutaneous formulations [42-44].  
 Ocrelizumab (Biogen Idec, Inc., Genentech, Inc., Roche  
 Holding AG and Chugai Pharmaceuticals Co. Ltd.), another  
 humanized anti-CD20 MAb, is undergoing Phase III trials  
 in combination with MTX for the treatment of patients  
 725 with RA and lupus nephritis, and Phase II trials for systemic  
 lupus erythematosus and hematological malignancies [45,46].

The success of ofatumumab in front-line settings will be  
 based on showing noninferiority to rituximab in achieving  
 and sustaining clinical responses and improving survival.  
 730 The Phase III NHL trial is comparing two different doses of  
 ofatumumab and the Phase III CLL trial is comparing ofa-  
 tumumab versus the combination of ofatumumab and  
 chlorambucil. These trials will be unlikely to change the  
 current management of these disorders, although they can  
 735 prove the principle of ofatumumab benefit in these settings.  
 Future Phase III trials in the development of ofatumumab  
 should evaluate combination with chemotherapy and/or  
 other targeted therapies in comparison with what is consid-  
 ered standard of care, namely R-CHOP in DLBCL, R-CVP  
 740 (rituximab, cyclophosphamide, vincristine and prednisone)  
 in FL and FCR (fludarabine, cyclophosphamide and ritux-  
 imab) in CLL patients. A Phase III trial comparing FC  
 against FC in combination with ofatumumab in CLL  
 patients will start recruiting patients this year  
 745 (NCT00824265). The latter trial is of great interest since  
 recent Phase III trials evaluating the addition of rituximab to  
 FC in CLL patients have shown higher response rates and  
 prolonged PFS in front-line [38] and relapsed settings [39].

In relapsed and refractory settings, ofatumumab has a  
 750 great opportunity to show superiority to rituximab since  
 most of the patients will already be rituximab failures. In  
 DLBCL patients, the combination of ofatumumab and  
 ICE (ifosfamide, carboplatin and etoposide) and DHAP  
 755 (dexamethasone, cytarabine and cisplatin) regimens should  
 be studied. In FL and CLL patients, combinations with  
 bendamustine, immunomodulators such as thalidomide and  
 lenalidomide, and other monoclonal antibodies such as

galiximab (Biogen Idec, Inc.), lumiliximab (Biogen Idec, 758  
 Inc.) and epratuzumab (Immunomedics, Inc.)—anti-CD80,  
 anti-CD23 and anti-CD22 mAbs, respectively—should be 760  
 attempted. Ofatumumab alone or in combination with  
 chemotherapy and/or bortezomib could also be of value in  
 Waldenstrom's macroglobulinemia (WM) and mantle cell  
 lymphoma. A Phase II trial of ofatumumab in patients with  
 WM will start in 2009 (NCT00811733). The concept of 765  
 maintenance ofatumumab should also be studied in patients  
 with indolent NHL and CLL even after maintenance  
 rituximab failure. It would be of interest to see if an  
 effective B-cell suppression can be achieved with fewer  
 770 infusions of ofatumumab in this setting. Given that both  
 antibodies bind different epitopes in the CD20 antigen,  
 maybe a combination of both CD-20 MAbs will induce  
 more potent and longer responses, although the implications  
 of such profound B-cell depletion are unknown. Finally,  
 775 ofatumumab-based radioimmunotherapy could prove to be  
 useful given the characteristics of the binding site and longer  
 binding affinity.

Ofatumumab as a novel anti-CD20 MAb has shown to  
 be safe and effective, alone or in combination, in treating  
 patients with indolent and aggressive NHL, CLL, RA and 780  
 MS, but its role is not clearly defined. Probably, ofatu-  
 mumab will have an important role in treating patients who  
 cannot tolerate rituximab or have developed rituximab resis-  
 tance; but it is unclear how ofatumumab will compare to  
 rituximab as a single agent or in combination with chemo- 785  
 therapy in front-line settings. The best way to answer these  
 questions is through the careful design, planning, execution  
 and analysis of significant randomized controlled trials. Since  
 many of these patients will have an insidious clinical course,  
 it is also necessary to improve our present understanding of 790  
 the malignant and autoimmune cell biology to personalize  
 and tailor therapies so that better response and longer survival  
 rates may be obtained without affecting significantly patients'  
 quality of life.

### Declaration of interest

The authors state no conflict of interest and have received  
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